

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Terrence SICK et al. **GROUP:** 3693
APPLICATION: 09/965,071 **EXAMINER:** S. Chandler
FILED: September 27, 2001 **CONFIRMATION:** 2718
**FOR: COMPUTER-BASED SYSTEM AND METHOD FOR SEARCHING AND
SCREENING FINANCIAL SECURITIES AND RELEVANT INTELLECTUAL
PROPERTY**

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

NOTICE OF APPEAL

The Applicants hereby appeal to the Board of Patent Appeals and Interferences from the Final Office Action dated May 4, 2007 in connection with the above-identified application. The Applicants claim small entity status.

In the event that additional fees are required as a result of this response, including fees for an extension of time, such fees should be charged to USPTO Deposit Account No. 50-2737 for Basch & Nickerson LLP.

Respectfully submitted,



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